Foundations of Trauma Informed Care

Mandy Davis & Ana Hristić
Agenda - Welcoming

- Intent – context
  - Starting with a common language/knowledge
  - Everyone has a role
  - FILTER

- How to cope with our time
  - Personal reflection, small group, large group – engage as feels helpful to you
  - Respect others – limit distractions
  - Care of self
  - Experience – you are the expert in your system
  - Your role – your job today

BE GENTLE: New territory ahead
Agenda

- What is Trauma Informed Care
- Why is Trauma Informed Care Important
- The NEAR Science of Trauma Informed Care
- Application of Trauma Informed Care
- Parallel Process

BE GENTLE: New territory ahead
WHAT IS TRAUMA INFORMED CARE?
What is Trauma?

- Can be single event.

- More often multiple events, over time (complex, prolonged trauma).

- Interpersonal violence or violation, especially at the hands of an authority or trust figure, is especially damaging.

- Structural violence - ways in which social structures harm or otherwise disadvantage individuals – including experiences of systemic oppression, ‘isms, poverty

- Collective, historical, generational

- Event, Experience, Effect (SAMHA)
Types of Trauma

- Abuse
- Neglect
- Unexpected, sudden death
- War
- Assault
- Domestic violence
- Witnessing violence
- Racism, islamophobia, gender violence, hate crimes
Some terms to think about:

- **POSITIVE**: Brief increases in heart rate, mild elevations in stress hormone levels.
- **TOLERABLE**: Serious, temporary stress responses, buffered by supportive relationships.
- **TOXIC**: Prolonged activation of stress response systems in the absence of protective relationships.
Trauma Informed Care

“A program, organization, or system that is trauma-informed:

**realizes** the widespread impact of trauma and understands potential paths for recovery;

**recognizes** the signs and symptoms of trauma in clients, families, staff, and others involved with the system; and

**responds** by fully integrating knowledge about trauma into policies, procedures, and practices,

and seeks to actively **resist re-traumatization**”

(SAMHSA’s Concept of Trauma and guidance for a Trauma-Informed Approach, 2014 http://store.samhsa.gov/shin/content/SMA14-4884/SMA14-4884.pdf)
Trauma Specific v. Trauma Informed

- **Trauma Recovery/Trauma Specific Services**
  - Reduce symptoms
  - Promote healing
  - Teach skills
  - Psycho-empowerment, mind-body, other modalities.

- **Trauma Sensitive**
  - Bring an awareness of trauma into view
  - Trauma lens

- **Trauma Informed Care**
  - Guide policy, practice, procedure based on understanding of trauma
  - Assumption: every interaction with trauma survivor activates trauma response or does not.
  - Corrective emotional experiences.
  - Parallel process
What TIC doesn’t mean

- It doesn’t mean excusing or permitting/justifying unacceptable behavior
  - Supports accountability, responsibility
- It doesn’t mean just being nicer
  - Compassionate yes, but not mushy
- It doesn’t ‘focus on the negative’
  - Skill-building, empowerment
  - Recognizing strengths
- It doesn’t mean just training.
  - Workforce wellness policies
  - Changing procedures
  - Changing physical environments
WHY IS TRAUMA INFORMED CARE IMPORTANT?
Why is it important?

- Trauma is pervasive.
- Trauma’s impact is broad, deep and life-shaping.
- Trauma differentially affects the more vulnerable.
- Trauma affects how people approach services.
- The service system has often been activating or re-traumatizing.
Prevalence in high risk populations

- National sample – 60% of 0-17 experienced or witnessed maltreatment, bullying, or assault within year.
- By 48 month 1 in 4 exp. trauma.
- Expulsion rates 3 times higher for pre-k vs k-12
- 155 Head Start 66% community violence
- Males who experienced maltreatment prior to 12 years of age, 50-79 percent became involved in serious juvenile delinquency
- Incarcerated women were more likely to report a history of childhood sexual or physical abuse
- That most pre-teen and adolescent youth who participated in a homicide offense have histories of severe childhood maltreatment


(Finkelhor, 2009; Briggs-Gowan et al 2010; Shahinfar et al, 2000)
Social Workers, Domestic Violence and Sexual Assault:

• 65% had at least one symptom of secondary traumatic stress (Bride, 2007).
• 70% experienced vicarious trauma (Lobel, 1997).

Hospice Nurses:

• 79% moderate to high rates of compassion fatigue;
• 83% didn’t have a debriefing support after a patient’s death (Abendroth & Flannery, 2006).

Immigration Judges:

• Higher burnout levels than hospital physicians and prison wardens (Curtis, 2010).

Law Enforcement:

• 33% showed high levels of emotional exhaustion and reduced personal accomplishment; 56.1 percent scored high on the depersonalization scale (Hawkins, 2001).
• Only 15% of LE professionals were willing to seek personal counseling as a result of vicarious trauma vs. 59% of mental health professionals (Bell, et al., 2003).
• More officers die of suicide than from gunfire and traffic accidents combined. In 2016, the average age of a police suicide victim was 42 and time on the job was 17 years. 22 percent of the suicides were at the rank of sergeant and above. (http://www.badgeoflife.com/)

Child Welfare Workers:

• 50% traumatic stress symptoms in severe range (Conrad & Kellar-Guenther, 2006).

Preschool Teachers:

• 30% of annual turn over
Why Now? Is it a Fad?

- Developmental neuroscience, interpersonal neurobiology.
- Enormous advances in neurobiology in the last two decades, brain imaging.
- Adverse Childhood Experiences Study (Kaiser & CDC)
  - Link with mental, behavioral, and physical outcomes
  - Compelling evidence for a public health perspective
THE NEAR SCIENCE OF TRAUMA
The Science

- Neurobiology
- Epigenetics
- Adverse childhood experiences
- Resilience

Laura Porter
Neurobiology
Helps us understand how our brain development and functions are impacted by toxic stress/trauma

Challenges with:
- Memory
- Communication
- Sensory regulation
- Executive functioning
- Regulation

In Survival Mode – assessing threat constantly

“Flipping One’s Lid”

Challenges with:
- Memory
- Communication
- Sensory regulation
- Executive functioning
- Regulation

In Survival Mode – assessing threat constantly

“Flipped Your Lid”

The big emotions, anger, fear, anxiety etc...

The AMYGDALA - The alarm center
Acts on instinct
fight, flight or freeze

Sharon Selby © 2015 www.SharonSelby.com
**Epigenetics**
Helps us understand the impact of toxic stress across generations – transmission through our genetic code

**THE THEORY**
What our parents and grandparents ate, how much exercise they did, and what chemicals they were exposed to, are all factors that could affect how our bodies look and work.
Adverse childhood experiences
Links adversities in childhood to adult health

Resilience
Helps us identify buffering variables that reverse, prevent, or heal this process.
Neurobiology Take Aways

- Our brains are malleable. Neural pathways can reconnect or grow. This plasticity is primarily seen in a few brain areas including the hippocampus, which is important for learning and memory.

- Brain development is use-dependent. What we use gets stronger.

- The areas of the brain focused on survival act first and faster than our thinking brain. One important structure is the amygdala, which as the “first responder” initiates the reflexive stress response.

- A stress response, although involuntary and automatic, can be based on a learned emotional association, referred to as fear conditioning. The amygdala is a primary brain structure involved in forming and storing fear-conditioned memories.

- The amygdala (fight, flight, or freeze) in trauma survivors is hyper-vigilant – scanning for danger, seeing danger, sensing threat, reacting to perceived threat or danger. This is (among other things) exhausting.

- Trauma stress events, especially layers of trauma over time, strengthen our survival neural networks making them quicker to respond.
Neurobiology Take Aways

- Memories of trauma are both implicit (activate emotions and senses) and explicit (activate pictures and stories of what happened). Implicit trauma memories are stronger than explicit memories.

- Memory involves repackaging fragments of sensory information into a coherent whole. Because the brain areas that provide context are often not working well in traumatic situations, these detached sensory fragments can illicit a stress response even in the absence of threat.

- Feeling connected and safe using the right hemisphere precedes reflecting and problem-solving using the left hemisphere.

- When we feel threatened or scared, our brains move resources away from thinking and towards survival. Trauma informed or trauma specific work is about reducing the experience of threat (emotional regulation) and restoring the capacity of the prefrontal cortex (thinking, problem solving, planning, inhibiting).

- Every interaction the survivor has with a provider system (physical space, intake, case managers or clinicians, assessment procedures and questions, rules and policies, etc.) has the potential either to activate the trauma response or not. When we fail to re-activate the trauma response, we invite the frontal lobe back on line and enhance emotional regulation and rational thought/behavior. Positive interactions, which create safe context and connection are foundational to changing maladaptive brain patterns.
When Trauma Happens….

- Freeze, Flight, Fight, Fright
- Chronic Trauma, Complex trauma overtime
- Traumatic Stress – Toxic stress
- How does this “look” in clients and in staff?
When Trauma Happens....

- Amygdala signals treat to hypothalamus – activates the HPA Axis kicking in hormones to protect the organism these include:
  - Catecholamine – prevents rational thought
  - Cortisol – give you energy to react
  - Opiates “natural morphine” – to numb pain = flat affect
  - Oxytocin – positive feelings

- Hormonal soup causes blunt affect, high and lows,

- Make memory consolidation and recall challenging

- Tonic mobility happens - coulda, shoulda, wouldsa, was actually not possible
  - If you can’t flee or fight your system goes on overload and “shuts down” = tonic immobility shown as, paralysis, trembling, incapacity to scream, numbness, sensation of cold, fear, feeling disconnected from oneself and surroundings

Sensory and the Trauma brain

• More sensitive to incoming sensory information

• Sensory information act as triggers

• Top down input may be distorted – not available

Connecting to behavior: Do you notice survivors are more aware or bothered by sensory input?
Sensory Perception

**Visual**
- Least accurate of all senses
- Does not reach full adult functioning until age four

**Auditory**
- Processing problems have some connection to autism and dyslexia

**Taste**
- 2,000-5,000 taste buds
- Four types of taste:

**Touch**
- First of five senses to develop and most prominent at birth
- Critical part of growth and nurturing

**Olfactory (Smell)**
- Can detect around 10,000 smells
- 75% of what you taste has to do with smell
- Only sensory input that is directly connected to limbic system (memory & emotion)
Attention and the Trauma brain

- Divided attention is better – hyper vigilance and the ability to pay attention to a lot of stimuli at once

- Selective attention is worse in general but better for threatening stimuli

- Sustained attention worse

Connecting to behavior: Do you notice survivors have a harder time focusing attention? Are they easily distracted?
Memory and the Trauma brain

- Memory for facts, information, and episodes is impaired – damage to hippocampus

- Working memory is usually not great – frontal lobe activation is decreased

- HOWEVER - Implicit memory is strong for threatening stimuli

Connecting to behavior: Do survivors forget appointments, treatment plans, what was discussed last time? But, is their memory for threat situations or details good?
Left brain expression
(Academic)

detail oriented
(Looks at parts)
Logical
Sequential
Rational
math and science
can comprehend
Analytical
Objective
uses logic
facts rule
words and language
present and past
knowing
acknowledges
knows object name
reality based
forms strategies
order/pattern perception
practical/planned
safe
cautious

Illustration by: VaXzine

Right brain expression
(Creative)

'big picture' oriented
(Looks at wholes)
Random
Intuitive
Holistic
philosophy & spiritualism
can 'get it' (the meaning)
Synthesizing
Subjective
uses feeling
imagination rules
symbols and images
present and future
believes
appreciates
knows object function
fantasy based
presents possibilities
spatial perception
impetuous/spontaneous
adventurous
carefree/risk taking

Written and slide design by Dr C Daniels 2008
Executive Functioning and the Trauma brain

- Frontal lobe function is impaired – affecting judgment, decision making, planning, reasoning

- Poorer regulation - attention and impulse control
  - Anxiety related, perseverative loops

Connecting to behavior: Do survivors perseverate, fixate? Do they show problems with impulse control? Struggle with making decisions or planning
Brain Structures Involved….

- Offers rational thinking, planning, decision making, sense making
- Memory formation – checks memories for context
- Considers sensory info for real or perceived danger

If stress response warranted – HPA axis initiates

Illustration: Hallorie Walker Sands
ACE Study Demographics

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<th>Demographic Information</th>
<th>Percent (N = 17,337)</th>
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<tr>
<td><strong>Gender</strong></td>
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<tr>
<td>Female</td>
<td>54.0%</td>
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<tr>
<td>Male</td>
<td>46.0%</td>
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<tr>
<td><strong>Race/Ethnicity</strong></td>
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<td>White</td>
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<tr>
<td>Hispanic/Latino</td>
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<tr>
<td>Asian/Pacific Islander</td>
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<tr>
<td>African-American</td>
<td>4.5%</td>
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<tr>
<td>Other</td>
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<tr>
<td><strong>Age (years)</strong></td>
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<tr>
<td>19-29</td>
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<td>30-39</td>
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<td>Not High School Graduate</td>
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<tr>
<td>Some College</td>
<td>35.9%</td>
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<tr>
<td>College Graduate or Higher</td>
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</table>
ACE’s

The three types of ACEs include

**ABUSE**
- Physical
- Emotional
- Sexual

**NEGLECT**
- Physical
- Emotional

**HOUSEHOLD DYSFUNCTION**
- Mental Illness
- Incarcerated Relative
- Mother treated violently
- Substance Abuse
- Divorce

**WHAT IMPACT DO ACEs HAVE?**
The Cumulative Impact

- ACE study (scores 0-10)
  - Score of 4 or more:
    - Twice as likely to smoke
    - 12 times as likely to have attempted suicide.
    - Twice as likely to be alcoholic.
    - 10 times as likely to have injected street drugs.

- Linear relationship with:
  - Prostitution, mental health disorders, substance abuse, early criminal behavior.
  - Physical health problems, early death.
Oregon ACE’s

THE RELATIONSHIP BETWEEN ACEs and WELLNESS IN OREGON

This figure highlights the correlation between mental health concerns, smoking and chronic respiratory disease, perceived health status and the number of ACEs identified by respondents in the 2011 Oregon BRFSS. This table illustrated the impact that a threshold of 4 or more ACEs had on some areas of health.

[Caution: The 2011 BRFSS represents one period in time. With repeated years of implementation, the data may reflect stronger correlations with negative health outcomes.]

Suicide & trauma

- Among those sexually abused as children, odds of suicide attempts were 2-4 times higher among women and 4-11 times higher in men compared to those not abused and controlling for other adversities.

- Robust relationship between PTSD and suicide after controlling for comorbid disorders & physical illness
  - some predictors include high level of intrusive memories, anger, impulsivity, and some cognitive styles of coping like suppression

- Adults and adolescents are more likely to develop PTSD as a result of exposure to suicide if one or more of the following conditions are true:
  - if they witness the suicide
  - if they are very connected with the person who dies
  - or if they have a history of psychiatric illness

- Culture as buffer & healer. Several elements of African-American culture, for example, have been shown to serve as protective factors against suicide:
  - Strong religious-belief system
  - Networks of kinship
  - Ethnic pride
  - Familial support

https://www.ptsd.va.gov/professional/co-occurring/ptsd-suicide.asp
American Association of Suicidology
Impact of Trauma

- Relational

- Emotional Reactions
  - Feelings – emotions, regulation
  - Alteration in consciousness
  - Hypervigilence

- Psychological and Cognitive Reactions
  - Concentration, slowed thinking, difficulty with decisions, blame

- Behavioral or physical
  - Pain, sleep, illness, substance abuse,

- Beliefs
  - Changes your sense of self, others, world
  - Relational disturbance

*pay attention to how this intersects with getting basic needs met*
A Trauma Lens

What might the NON Trauma informed system say about this person?

Using a trauma lens – what could be going on?

1. 

2. 

3. 

TRAUMA EDUCATION STATEMENT:

What we know about trauma is that trauma survivors often started using substances because/to [either prevent feeling greater pain, to feel something, or because it was forced onto them]
Through A Trauma Lens

- Sue successfully completed her substance abuse treatment program. Part of the safety plan for her to have her 4 y/o is no contact with her abuser. While out one day she runs into her ex-partner who was abusive. Her DHS worker finds out, confronts her about it and she doesn’t tell the truth saying “it never happened”.

- You are meeting with Kiesha to complete paperwork for services she requested. She keeps rustling through her bag while your talking, looking outside your office, and checking her phone. She can’t seem to settle down and focus.

- You are meeting with Yumi after an altercation with another youth. She quickly says it is not her fault, that the program is targeting her and the system is unfair.

- Andre agrees to MH counseling in a team mtg but “no shows” for the intake. During follow-up he states he is very interested but “no shows” again.

- Jack calls all of his providers, multiples times. The calls are often about the same thing. He is often asking for tangible goods & can be verbally aggressive. For example last week he called requesting bus tickets. One of his providers said “I think I can get you some” but he kept calling the other providers

- Margaret is 28 wks along in her pregnancy and you’ve just started working with her. Your program has a good relationship with the OB/GYN clinic where Margaret gets prenatal care. The nurse calls to let you know Margaret didn’t show up for her most recent appointment. She mentions that Margaret misses many appts and they often have to call her to reschedule. When you ask her about it she gets loud and says “I’m not going to that doctor!”
APPLICATION OF TRAUMA INFORMED CARE
Six Key Principles of a Trauma Informed Approach

- Safety
- Trustworthiness & Transparency
- Peer Support
- Collaboration & Mutuality
- Empowerment, Voice and Choice
- Cultural, Historical and Gender Issues
Trauma Informed Care (TIC) recognizes that traumatic experiences *terrify, overwhelm, and violate* the individual. TIC is a commitment not to repeat these experiences and, in whatever way possible, to *restore* a sense of safety, power, and worth.

**Commitment to Trauma Awareness**
- Create Safe Context through:
  - Physical safety
  - *Trustworthiness*
  - Clear and consistent boundaries
  - Transparency
  - Predictability
  - **Choice**

**Understanding the Impact of Historical Trauma**
- Restore Power through:
  - **Choice**
  - *Empowerment*
  - Strengths perspective
  - Skill building

**Promote Self Worth**
- *Collaboration*
  - Respect
  - Compassion
  - Mutuality
  - Engagement and Relationship
  - Acceptance and Non-judgment

Agencies demonstrate Trauma Informed Care with Policies, Procedures and Practices that...
PARALLEL PROCESS WITHIN TRAUMA INFORMED CARE
Adapted from Bloom, S. Sanctuary Model
INDIVIDUAL
Self-Care &

ORGANZATIONAL
Workforce
Wellness
A Culture of TIC

- Involves all aspects of program activities, setting, relationships, and atmosphere (more than implementing new services).

- Involves all groups: administrators, supervisors, direct service staff, support staff, and consumers.

- Involves making trauma-informed change into a new routine, a new way of thinking and acting.

- Commitment to an ongoing process of self-assessment, review, hearing from consumers and staff, openness to changing policies and practices.
What difference does it make?

- Improved Workforce Wellness
  - Sense of confidence, satisfaction with work
  - Reduced burnout, stress (absenteeism, turnover)
  - Improved organizational climate

- Cross-system/Integrated Care
  - Shared language – shared resources

- Increased engagement
  - Follow through on appointments/classes (reduced no-shows)
  - Adherence to plans or treatment protocols
  - Follow through on referrals
  - Reduced Emergency Room
  - Improved satisfaction with care or services